

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
FORM PTO-1449 (modified)

Sheet 1 of 14

Application No.	10/032,325
Filing Date	March 4, 2002
First Named Inventor	Perholtz et al.
Group Art Unit	2145
Examiner Name	Swearingen, Jeffrey R.
Attorney Docket No.	2540-0550
Confirmation No.	6319

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No.	Document No.	Publication/ Issue Date	Name of Patentee or Applicant of Cited Document
	1-1	US-3541541	1970-11-17	Engelbert
	1-2	US-4054911	1977/10	Fletcher et al.
	1-3	US-4101959	1978/07	Domike et al.
	1-4	US-4177514	1979/12	Rupp
	1-5	US-4862268	1989/08	Campbell et al.
	1-6	US-5033903	1991/07	Olsson et al.
	1-7	US-5351129	1994/09	Lai
	1-8	US-5495474	1996/02/27	Olnowich
	1-9	US-5594467	1997/01	Marlton et al.
	1-10	US-5877819	1999/03	Branson
	1-11	US-6177934	2001/01	Suglura et al.
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**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No.	Document No.	Publication Date	Name of Patentee or Applicant of Cited Document	Notes
	2-1	CA 2 072 198	12/25/1992	Compaq Computer Corp.	
	2-2	JP 04-113392	1992/04/14	MITSUBISHI ELECTRIC CORP (w/English abstract)	SOR
	2-3	JP 04-267294	1992/09/22	FUJITSU GENERAL LTD (w/English abstract)	SOR
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NON-PATENT REFERENCES			
Examiner Initials*	Cite No.	Non-patent Reference bibliographic information, where available	Notes
	3-1	"Data Network Expansion Can Be Done Painlessly" Entrepreneur Communications News Dec., 1984	
	3-2	"Introducing the Q-Net Resource Manager" Microscience Corporation 1985	
	3-3	"pcAnywhere32 User's Guide", Symantec Corporation, c1993-1997, Peter Norton Product Group.	
	3-4	CA Appin. No. 2,533,841 - 2009-08-05 CIPO Office Action	
	3-5	Carrell, Jeffery. "Online System Concentrator" and "MultiNet LET-36" PC Magazine, November 10, 1992.	
	3-6	Defendant Rose Electronics' Answer and Defenses, Avocent Redmond Corp. v. The United States, Civil Action No. 08-CV-00069 (LSM), United States Court of Federal Claims, May 12, 2008.	
	3-7	Defendant Rose Electronics' Motion to Intervene, Avocent Redmond Corp. v. The United States, Civil Action No. 08-CV-00069 (LSM), United States Court of Federal Claims, May 12, 2008.	

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NON-PATENT REFERENCES			
Examiner Initials*	Cite No.	Non-patent Reference bibliographic information, where available	Notes
	4-1	Defendant The United States's Answer to Plaintiff's Complaint, Avocent Redmond Corp. v. The United States, Civil Action No. 08-CV-00069 (LSM), United States Court of Federal Claims, March 31, 2008.	
	4-2	Deposition Transcript of Barry Tragen dated December 22, 2009, Avocent Redmond Corp. v. US et al., U.S. Court of Claims Case No. 08-69C	
	4-3	English Abstract of FR 2672707 (1992-08-14)	
	4-4	English Abstract of JP 02-207299 (1990/08/16)	
	4-5	English Abstract of JP 03-087790 (1991/04/12)	
	4-6	English Abstract of JP 03-116091 (1991/05/17)	
	4-7	English Abstract of JP 03196186 (1991-08-27)	

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	5-1	English Abstract of JP 03-201882 (1991/09/03)	
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	5-3	English Abstract of JP 04166891 (1992-06-12)	
	5-4	English Abstract of JP 04225393 (1992-08-14)	
	5-5	English Abstract of JP 0507721 (1993/02/05)	
	5-6	English Abstract of JP 05-210383 (1993/08/20)	
	5-7	English Abstract of JP 05257736 (1993-10-08)	

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	6-1	English Abstract of JP 06-203000 (1994/07/22)	
	6-2	English Abstract of JP 07-200471 (1995/08/04)	
	6-3	English Abstract of JP 4212555 (1992/08/04)	
	6-4	English Abstract of JP 52057736 (1977-05-12)	
	6-5	English Abstract of JP 63268394 (1988/11/07)	
	6-6	European Search Report in European Patent Appin. No. 01118868.7 mailed August 13, 2009.	
	6-7	JP Appln. No. 2003-008673 - 2009-03-27 Translation of JPO Office Action	

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Examiner Initials*	Cite No.	Non-patent Reference bibliographic information, where available	Notes
	7-1	JP Appln. No. 2003-008673 - 2010-01-26 JPO Decision of Rejection with English translation	
	7-2	Markman Order dated April 14, 2010, Avocent Redmond Corp. v. US et al., U.S. Court of Claims Action No. 08-69C	
	7-3	NEC's Mos Intergrated Circuit PD6451A Published September 1990	
	7-4	NEC's Mos Intergrated Circuit PD6452 Published July 1990	
	7-5	NEC's Mos Intergrated Circuit PD6453 Published November 1990	
	7-6	NEC's Mos Intergrated Circuit PD6454 Published 1992	
	7-7	NEC's Mos Intergrated Circuit PD6456 Published December 1991	

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	8-1	NEC's Mos Intergrated Circuit PD6460 Published 1989	
	8-2	Notification of Reasons For Rejection (October 17, 2000) (Translation) (JP Patent Application No. 510523/97)	
	8-3	Partial Translation of Jpn. Pat. Appln. KOKAI Publication No. 57-158883 (publication date Sept. 30, 1982)	
	8-4	Plaintiff Avocent Redmond Corp.'s Complaint for Unauthorized Use of Patented Iventions, Avocent Redmond Corp. v. The United States, Civil Action No. 08-CV-00069 (LSM), United States Court of Federal Claims, January 31, 2008.	
	8-5	Q-Net Resource Manager Manual, Microscience Corporation, 231 pgs.	
	8-6	Rose Electronics' On-Screen Display Option Supplement to ServeView Plus and SVE models, Installation and Operation Manual (dated 1997)	
	8-7	Rose Job Ad for Production, Engineering and Project Managers, Hardware, Software, Test Engineers and Technicians, Houston Chronicle, Jan. 1, 1995	

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NON-PATENT REFERENCES			
Examiner Initials*	Cite No.	Non-patent Reference bibliographic information, where available	Notes
	9-1	Roses 2d Supplemental Resp. to Interrogatory No. 5 (Invalidity) Appendix A in Claims Court Action No. 08-69C	
	9-2	Roses 2d Supplemental Resp. to Interrogatory No. 5 (Invalidity) in Claims Court Action No. 08-69C	
	9-3	Roses 3rd Supplemental Response to Avocent's Interrogatory No. 5 (Appendices A -F) in Avocent v. United States 08-69C	
	9-4	Roses 3rd Supplemental Response to Avocent's Interrogatory No. 5 in Avocent v. United States 08-69C	
	9-5	Roses Amended Answer and Defenses submitted on 08/12/2009 in Claims Court Action No. 08-69C	
	9-6	Roses Amended Answer and Defenses submitted on 11/05/2009 in Claims Court Action No. 08-69C	
	9-7	Roses Opening Claim Construction Brief Eisenbarth Declaration in Claims Court Action No. 08-69C	

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	10-1	Roses Opening Claim Construction Brief in Claims Court Action No. 08-69C	
	10-2	Roses Opening Claim Construction Brief Jackson Declaration Exhibit D in Claims Court Action No. 08-69C	
	10-3	Roses Opening Claim Construction Brief Jackson Declaration Exhibit M in Claims Court Action No. 08-69C	
	10-4	Roses Opening Claim Construction Brief Jackson Declaration Exhibits A-B in Claims Court Action No. 08-69C	
	10-5	Roses Opening Claim Construction Brief Jackson Declaration Exhibits C in Claims Court Action No. 08-69C	
	10-6	Roses Opening Claim Construction Brief Jackson Declaration Exhibits E-G in Claims Court Action No. 08-69C	
	10-7	Roses Opening Claim Construction Brief Jackson Declaration Exhibits H-L in Claims Court Action No. 08-69C	

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	11-1	Roses Opening Claim Construction Brief Jackson Declaration in Claims Court Action No. 08-69C	
	11-2	Tellabs 300 Dataplexer Installation Manual Technical Manual 76.810300/2, Rev. A 7/89	
	11-3	Tellabs 300 Dataplexer Network Manager's Guide Technical Manual 76.810300/3, Rev. A 7/89	
	11-4	Tellabs 300 Dataplexer System Description Technical Manual 76.820300/1, Rev. A 7/89	
	11-5	Tellabs 331 Xplexer Installation Manual Technical Manual 76.810331/2, Rev. C 12/88	
	11-6	Tellabs 331 Xplexer Network Manager's Guide Technical Manual 76.810331/3, Rev. C 12/88	
	11-7	Tellabs 331 Xplexer System Description Technical Manual 76.810331/1, Rev. C 12/88	

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	12-1	Translation of JP application 5-27721 (by 3rd Party Requester - Rose) (1993/02/05)	
	12-2	Translation of JP application 5-27721 by Avocent	
	12-3	Translation of JP application 5-81196 (by 3rd party requester - Rose) (1993-04-02)	
	12-4	Translation of JP application 5-81196 by Avocent	
	12-5	Translation of July 16, 2002 Final Notification of Reasons for Rejection for Japanese Pat. Appl. No. 510523/97	
	12-6	Translation of June 6, 2001 Decision of Rejection for Japanese Pat. Appl. No. 510523/97	
	12-7	Trueman, Richard "CRT-Based Data Display Technology" Information Display, Vol. 9, No. 12, December 1993	

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	13-1	U.S. Application Ser. No. 08/970,168 1.312 Amendment	
	13-2	U.S. Application Ser. No. 08/970,168 Notice Of Allowance	
	13-3	U.S. Appln. No. 11/129,443 - 2009-04-16 PTO Office Action	
	13-4	U.S. Reexam 90/010,626 - 2009-07-29 PTO Reexam Request	
	13-5	U.S. Reexam 90/010,626 - 2009-10-02 PTO Order Granting Request for Ex Parte Reexam	
	13-6	U.S. Reexam 90/010,627 - 2009-07-29 PTO Reexam Request	
	13-7	U.S. Reexam 90/010,627 - 2009-09-10 PTO Order Granting Request for Ex Parte Reexam	

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	14-1	U.S. Reexam 90/010,627 - 2010-03-18 PTO Notice of Intent to Issue Ex Parte Reexamination Certificate	
	14-2	U.S. Reexam 90/010,628 - 2009-07-30 PTO Reexam Request	
	14-3	U.S. Reexam 90/010,813 - 2010-01-06 PTO Reexam Request	
	14-4	U.S. Reexam 90/010,813 - 2010-03-05 PTO Order Granting Request for Ex Parte Reexam	
	14-5	U.S. Reexam Control No. 90/010,626 - 2010-02-17 PTO Office Action	
	14-6	U.S. Reexam Control No. 90/010,628 - 2010-05-11 PTO Office Action	
	14-7	U.S. Reexam Control No. 90010628 - 2009-10-09 Order Granting Request for Reexamination	

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